

## STIC Database Tracking Number:

**To: David Wood**  
**Location: KNX 04 C61**  
**Art Unit: 3695**  
**Date: 07/20/09**  
**Case Serial Number: 10/810,176**

**From: Paul Obiniyi**  
**Location: EIC3600**  
**KNX 02 D08-B**  
**Phone: (571) 272-27734**  
**paul.obiniyi@uspto.gov**

## Search Notes

Dear Examiner Wood:

Please find attached the results of your fast and focus search result for the above-referenced case.

I have listed *potential* references of interest in the first part of the search results. However, please be sure to scan through the entire report. There may be additional references that you find useful.

If you have any questions about the search, or need a refocus, please do not hesitate to contact me.

Thank you for using the EIC, and we look forward to your next search!

Paul

I.	POTENTIAL REFERENCES OF INTEREST.....	3
A.	Dialog .....	3
II.	TEXT SEARCH RESULTS FROM DIALOG.....	6
A.	Full-Text Databases .....	6
III.	ADDITIONAL RESOURCES SEARCHED.....	25

*\* EIC-Searcher identified “potential references of interest” are selected based on the terms/concepts provided in the examiner’s search request.*

## I. Potential References of Interest

### A. Dialog

---

15/3,K/11 (Item 3 from file: 20)  
DIALOG(R)File 20: Dialog Global Reporter  
(c) 2009 Dialog. All rights reserved.

39881699  
**Q4 2004 Crossroads Earnings Conference Call - Part 1**

FAIR DISCLOSURE WIRE  
December 20, 2004  
**Journal Code:** WFDW **Language:** English **Record Type:** FULLTEXT  
**Word Count:** 4531

-  
...fluctuations and revenue, I'll break down the two major components that make up our **revenue** -- **products** and **royalty**.  
**Royalty**, which also includes **IP licensing** revenue.  
First, to address the product revenue. This quarter, revenue was \$2.5 million compared...

---

15/3,K/28 (Item 3 from file: 484)  
DIALOG(R)File 484: Periodical Abs Plustext  
(c) 2009 ProQuest. All rights reserved.

03740641 **Supplier Number:** 98227415 (USE FORMAT 7 OR 9 FOR FULLTEXT )  
**Semiconductors: Why investors can't resist**

Marren, John; Fieglein, Ashley  
Electronic News ( IELN ), v44 n2217 , p 36, 44 , p. 2  
May 4, 1998  
**ISSN:** 1061-6624 **Journal Code:** IELN  
**Document Type:** News  
**Language:** English **Record Type:** Fulltext; Abstract  
**Word Count:** 1346  
**TEXT:**

...Model: Like a Software Company But Better  
The typical chipless company derives its revenue from **licensing** its **IP** and charging a **royalty fee** on **products** developed that incorporate the IP. The benefits of this model are obvious. Like a software...

---

2/3,K/3 (Item 2 from file: 542)  
DIALOG(R)File 542: SEC Online(TM) 10-K Reports  
(c) 1987-1997 SEC Online Inc. All rights reserved.

0710458  
**ZYGO CORP**  
- 1991 10K Report

**Publication Date:** 06/30/91

**Text:**

...product development. Development costs associated with applications-engineering or customer paid development are included in **cost** of **goods** sold.

**PATENTS, LICENSES, TRADEMARKS AND PROPRIETARY INFORMATION**

The Company seeks to obtain patents to protect novel features of...

---

4/3,K/12 (Item 1 from file: 112)  
DIALOG(R)File 112: UBM Industry News  
(c) 2004 United Business Media. All rights reserved.

01205466 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
**Royalty deal aids 3G plan**

Electronics Times , p 3  
July 12, 1999

**Language:** English **Record Type:** Fulltext **Document Type:** Journal  
**Word Count:** 00000349 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**Text:**

...all formerly involved activities, including chip design and subassembly.

There will also be a standard royalty rate for each 3G patent licensed in each category, and this will be 0.1% of the ex-works sales. The...

---

4/3,K/19 (Item 1 from file: 543)  
DIALOG(R)File 543: SEC Online(TM) 10-Q Reports  
(c) 1987-1997 SEC Online Inc. All rights reserved.

1477259  
**HUTCHINSON TECHNOLOGY INC**  
- 1995 10Q-A1 Report

**Publication Date:** 06/25/95

**Text:**

...for herein and are not to be construed as royalties in compensation for any IBM **patent** licenses.

The **royalty rate** which HTI shall pay IBM for each HTI Royalty Product sold during a calendar year...

---

2/3,K/6 (Item 1 from file: 781)  
DIALOG(R)File 781: ProQuest Newsstand  
(c) 2009 ProQuest Info&Learning. All rights reserved.

13076814 CNWA2004051406AF4083 (USE FORMAT 7 OR 9 FOR FULLTEXT)

### **TSO3: First Quarter 2004 Financial Results**

Canada Newswire  
Friday, May 14, 2004  
**Document Type:** Newswire **Language:** ENGLISH  
**Record Type:** FULLTEXT  
**Word Count:** 5,012  
(USE FORMAT 7 OR 9 FOR FULLTEXT)

#### **Text:**

...of estimates,  
actual results could differ from the information presented.

Intangible assets are the acquisition cost of a patent licence and the acquisition cost of a technology (pre-production prototype) including all the related rights. Since 2004, the licence, stated at acquisition cost, is...

...the current year's presentation.

#### **2. ACCOUNTING POLICIES**

Intangible assets  
Intangible assets are the acquisition cost of a patent licence and the

acquisition cost of a technology (pre-production prototype) including

all the related rights.

The licence, stated at acquisition cost, is amortized according...

## **II. Text Search Results from Dialog**

### **A. Full-Text Databases**

#### **show files**

File 2:INSPEC 1898-2009/Jul W2  
(c) 2009 The IET

File 5:Biosis Previews(R) 1926-2009/Jul W2  
(c) 2009 The Thomson Corporation

File 7:Social SciSearch(R) 1972-2009/Jul W2  
(c) 2009 The Thomson Corp

File 8:Ei Compendex(R) 1884-2009/Jul W2  
(c) 2009 Elsevier Eng. Info. Inc.

File 9:Business & Industry(R) Jul/1994-2009/Jul 18  
(c) 2009 Gale/Cengage

File 11:PsycINFO(R) 1887-2009/Jul W2  
(c) 2009 Amer. Psychological Assn.

File 13:BAMP 2009/Jul 16  
(c) 2009 Gale/Cengage

File 15:ABI/Inform(R) 1971-2009/Jul 18  
(c) 2009 ProQuest Info&Learning

File 16:Gale Group PROMT(R) 1990-2009/Jun 25  
(c) 2009 Gale/Cengage

File 18:Gale Group F&S Index(R) 1988-2009/Jun 25  
(c) 2009 Gale/Cengage

File 19:Chem.Industry Notes 1974-2009/ISS 200928  
(c) 2009 Amer.Chem.Soc.

File 20:Dialog Global Reporter 1997-2009/Jul 20  
(c) 2009 Dialog

File 30:AsiaPacific 1985-2009/Jun 19  
(c) 2009 Aristarchus Knowledge Indus.

File 34:SciSearch(R) Cited Ref Sci 1990-2009/Jul W2  
(c) 2009 The Thomson Corp

File 47:Gale Group Magazine DB(TM) 1959-2009/Jul 07  
(c) 2009 Gale/Cengage

File 75:TGG Management Contents(R) 86-2009/Jun W3  
(c) 2009 Gale/Cengage

File 80:TGG Aerospace/Def.Mkts(R) 1982-2009/Jun 23  
(c) 2009 Gale/Cengage

File 88:Gale Group Business A.R.T.S. 1976-2009/Jul 18  
(c) 2009 Gale/Cengage

File 101:Disclosure Database(R) 2009/Jul W2  
(c) 2009 Thomson Financial

File 112:UBM Industry News 1998-2004/Jan 27  
(c) 2004 United Business Media

File 129:PHIND(Archival) 1980-2009/Jun W1  
(c) 2009 Informa UK Ltd

File 132:S&P's Daily News 1985-2009/Jul 01  
(c) 2009 McGraw-Hill Companies Inc

File 135:NewsRx Weekly Reports 1995-2009/Jul W1  
(c) 2009 NewsRx

File 139:EconLit 1969-2009/Jul  
(c) 2009 American Economic Association

File 148:Gale Group Trade & Industry DB 1976-2009/Jul 02  
(c) 2009 Gale/Cengage

File 149:TGG Health&Wellness DB(SM) 1976-2009/Jun W3  
(c) 2009 Gale/Cengage

File 150:Gale Group Legal Res Index(TM) 1980-2009/Jun 29

(c) 2009 Gale/Cengage  
 File 160: Gale Group PROMT(R) 1972-1989  
 (c) 1999 The Gale Group  
 File 180: Federal Register 1985-2009/Jul 18  
 (c) 2009 format only DIALOG  
 File 211: Gale Group Newsearch(TM) 2009/Jul 18  
 (c) 2009 Gale/Cengage  
 File 239: Mathsci 1940-2009/Aug  
 (c) 2009 American Mathematical Society  
 File 249: Mgt. & Mktg. Abs. 1976-2007/Apr W5  
 (c) 2007 Pira International  
 File 258: AP News Jul 2000-2009/Jul 20  
 (c) 2009 Associated Press  
 File 268: Banking Info Source 1981-2009/Jul W2  
 (c) 2009 ProQuest Info&Learning  
 File 275: Gale Group Computer DB(TM) 1983-2009/Jun 19  
 (c) 2009 Gale/Cengage  
 File 319: Chem Bus NewsBase 1984-2009/Jul 20  
 (c) 2009 Elsevier Eng. Info. Inc.  
 File 340: CLAIMS(R)/US Patent 1950-09/Jul 16  
 (c) 2009 IFI/CLAIMS(R)  
 File 349: PCT FULLTEXT 1979-2009/UB=20090709|UT=20090702  
 (c) 2009 WIPO/Thomson  
 File 387: The Denver Post 1994-2009/Jul 19  
 (c) 2009 Denver Post  
 File 440: Current Contents Search(R) 1990-2009/Jul 20  
 (c) 2009 The Thomson Corp  
 File 441: ESPICOM Pharm&Med DEVICE NEWS 2009/Apr W2  
 (c) 2009 ESPICOM Bus.Intell.  
 File 444: New England Journal of Med. 1985-2009/Jul W2  
 (c) 2009 Mass. Med. Soc.  
 File 471: New York Times Fulltext 1980-2009/Jul 20  
 (c) 2009 The New York Times  
 File 475: Wall Street Journal Abs 1973-2009/Jul 20  
 (c) 2009 The New York Times  
 File 480: Ulrich's Int'l Periodicals Dir. 2009/JUN  
 (c) 2009 CSA  
 File 483: Newspaper Abs Daily 1986-2009/Jul 19  
 (c) 2009 ProQuest Info&Learning  
 File 484: Periodical Abs PlusText 1986-2009/Jul W2  
 (c) 2009 ProQuest  
 File 485: Accounting & Tax DB 1971-2009/Jul W2  
 (c) 2009 ProQuest Info&Learning  
 File 519: D&B-Duns Finan.Records Plus(TM) 2009/APR  
 (c) 2009 Dun & Bradstreet  
 File 541: SEC Online(TM) Annual Repts 1997/Sep W3  
 (c) 1987-1997 SEC Online Inc.  
 File 542: SEC Online(TM) 10-K Reports 1997/Sep W3  
 (c) 1987-1997 SEC Online Inc.  
 File 543: SEC Online(TM) 10-Q Reports 1997/Sep W3  
 (c) 1987-1997 SEC Online Inc.  
 File 544: SEC Online(TM) Proxy Repts 1997/Sep W3  
 (c) 1987-1997 SEC Online Inc.  
 File 545: Investext(r)Archive 1982-2007/MAR 31(c)2007 Thomson Fin.Network  
 File 554: TFSD J V & Alliances 1990-2009/Aug 05  
 (c) 2009 Thomson Fin Sec Data  
 File 562: ICC Brit.Co.Finan.Data 2009/Jul 18  
 (c) 2009 ICC Online Inform. Group  
 File 570: Gale Group MARS(R) 1984-2009/Jun 25

(c) 2009 Gale/Cengage  
 File 583: Gale Group Globalbase(TM) 1986-2002/Dec 13  
 (c) 2002 Gale/Cengage  
 File 605: U.S. Newswire 1999-2007/APR 27  
 (c) 2007 U.S. Newswire via Comtex  
 File 608: MCT Information Svc. 1992-2009/Jul 20  
 (c) 2009 MCT Information Svc.

? ds

Set	Items	Description
S1	482	(PATENT? ? OR INTELLECTUAL()PROPERTY??? )()( LICENS??? OR L- LICENC???)(3N)((ROYALT??? OR COMMISSION? ? OR COMPENSATION? ?)- (3N)(RATE OR RATES OR CHARGE OR COST OR FEE OR REVENUE? ? OR - PAYMENT? ?))
S2	479	S1(3N)ROYALT?
S3	33	S2(3N)PATENT? ?()LICEN?(3N)ROYALT?() (RATE OR RATE? ? OR FE- E? ? OR COST)
S4	21	S3 NOT PY> 2004
S5	1226	LESI OR LICENSING()EXECUTIVE()SOCIETY
S6	398611	(PATENT? ? OR INTELLECTUAL()PROPERTY??? OR (IP NOT INTERNET- ( )PROTOCOL))()( LICENS??? OR LICENC???)
S7	813059	(PATENT? ? OR INTELLECTUAL()PROPERTY??? OR (IP NOT INTERNET- ( )PROTOCOL)) (3N)(LICENS??? OR LICENC??? OR PERMISSION? ? OR - ACCESS OR PRIVILEGE? ? OR COPYRIGHT? ? OR COPY() (PROTECTION OR RIGHT? ?))
S8	908532	(ROYALT??? OR COMMISSION? ? OR COMPENSATION? ?)(3N)(RATE OR RATES OR CHARGE OR COST OR FEE OR REVENUE? ? OR PAYMENT? ?)
S9	1307730	(COST OR FEE OR RATE OR RATES OR CHARGE? ? OR REVENUE OR P- AYMENT)(3N)(FABRICAT??? OR MANUFACTUR??? OR ASSEMBL? OR PRODU- CTION??)
S10	123035	COGS OR COST()OF()GOOD? ?()SOLD
S11	2666848	(COST OR FEE OR RATE OR RATES OR CHARGE? ? OR REVENUE OR P- AYMENT)(3N) (MERCHANDI? OR GOODS OR WARES OR ITEM? ? OR PRODU- CT? ? OR ARTICLE? ? OR THING? ? OR OBJECT? ? OR COMMODIT??? OR MATERIAL)
S12	4	S5(7N)S6
S13	20	S5(7N)S7
S14	21298	S8(3N)(S9:S11)
S15	31	S14(3N)(S6:S7)

? t/3,k/all

2/3,K/1 (Item 1 from file: 541)  
 DIALOG(R)File 541: SEC Online(TM) Annual Repts  
 (c) 1987-1997 SEC Online Inc. All rights reserved.

0594165

**HOECHST CELANESE CORP**

- 1992 Annual Report

**Publication Date:** 12/31/92

**Text:**

...PAGE 38]



MOVEMENT OF FIXED ASSETS AND INVESTMENTS  
(in DM million)

Hoechst Group	Acquisition or 1 Jan 1992	production cost Additions*	Disposals
Concessions, <b>patents</b> , <b>licences</b> , trademarks and similar rights	427	142	57
Goodwill	54	32	4
Intangible fixed assets	481...		

---

2/3,K/2 (Item 1 from file: 542)  
DIALOG(R)File 542: SEC Online(TM) 10-K Reports  
(c) 1987-1997 SEC Online Inc. All rights reserved.

2091245  
**INTERDIGITAL COMMUNICATIONS CORP**  
- 1995 10K Report

**Publication Date:** 12/31/95

**Text:**

...significant UltraPhone supplier to InterDigital, which would take advantage of Samsung's expertise in low **cost**, high quality **manufacturing**.

Technology and **Patent Licensing**

General. In February 1992, the Company transferred all of its patents, patent applications and rights...

---

2/3,K/3 (Item 2 from file: 542)  
DIALOG(R)File 542: SEC Online(TM) 10-K Reports  
(c) 1987-1997 SEC Online Inc. All rights reserved.

0710458  
**ZYGO CORP**  
- 1991 10K Report

**Publication Date:** 06/30/91

**Text:**

...product development. Development costs associated with applications-engineering or customer paid development are included in **cost of goods**

sold.

**PATENTS, LICENSES, TRADEMARKS AND PROPRIETARY INFORMATION**

The Company seeks to obtain patents to protect novel features of...

---

2/3,K/5 (Item 1 from file: 765)  
DIALOG(R)File 765: Frost & Sullivan  
(c) 1999 Frost & Sullivan Inc. All rights reserved.

00472807

**STRATEGIC ANALYSIS AND FORECASTS OF THE TOTAL EUROPEAN GENE THERAPY MARKET: Strategic Analysis and Forecasts of the Total Market: Competitive Analysis: Competitive Environment: Issues**

**Main Title:** EUROPEAN GENE THERAPY MARKETS

**Pub. Date:** October 1997

**Source:** Frost & Sullivan

Telephone: US (415) 961 - 1000; London 071 730 3438

**Word Count:** 621 ( 1 pp.)

**Language:** English

**Country:** EUROPE

**Industry:** PHARMACEUTICALS

**Company Names (DIALOG Generated):** Be Established Before Competitive Market ; Patents Will Determine Leading Gene Therapy Companies ; Technology Developments

...which other companies  
will need to license; therefore, no unfair financial advantage is  
envisaged through **patent licensing**.

**Manufacturing** Procedures Should Help Reduce **Cost** of Future  
Gene Therapies

One of the biggest advantages of gene therapy is that established...

---

2/3,K/6 (Item 1 from file: 781)  
DIALOG(R)File 781: ProQuest Newsstand  
(c) 2009 ProQuest Info&Learning. All rights reserved.

13076814 CNWA2004051406AF4083 (USE FORMAT 7 OR 9 FOR FULLTEXT)

TSO3: First Quarter 2004 Financial Results

Canada Newswire

Friday , May 14, 2004

Document Type: Newswire Language: ENGLISH

Record Type: FULLTEXT

Word Count: 5,012

(USE FORMAT 7 OR 9 FOR FULLTEXT)

**Text:**

...of estimates,  
actual results could differ from the information presented.

Intangible assets are the acquisition cost of a patent  
licence  
and the acquisition cost of a technology (pre-production  
prototype)  
including all the related rights. Since 2004, the licence, stated at  
acquisition cost, is...

...the current year's presentation.

2. ACCOUNTING POLICIES

Intangible assets  
Intangible assets are the acquisition cost of a patent  
licence  
and the

acquisition cost of a technology (pre-production  
prototype)  
including

all the related rights.

The licence, stated at acquisition cost, is amortized according...

---

2/3,K/7 (Item 1 from file: 995)

DIALOG(R)File 995: NewsRoom 2004

(c) 2009 Dialog. All rights reserved.

0771539972 16G71713

**10KSB: MIKRON INFRARED INC**

EDGAR Online

Thursday , January 29, 2004

**Journal Code: ABXF Language: English Record Type: Fulltext**

**Document Type: Newswire**

**Word Count: 3,074**

**Text:**

...the year ended October 31, 2002. The decrease was due to the expiration  
of our **patent licensing** agreements.

Our **cost** of **goods** sold as a percentage of net sales for fiscal 2003 was 50.1% (53.5...

---

15/3,K/4 (Item 1 from file: 16)  
DIALOG(R) File 16: Gale Group PROMT(R)  
(c) 2009 Gale/Cengage. All rights reserved.

13424662    **Supplier Number:** 150699741 (USE FORMAT 7 FOR FULLTEXT)

**Crossroads Systems Announces Q3'06 Results.**

Business Wire , p NA

August 31 , 2006

**Language:** English    **Record Type:** Fulltext

**Document Type:** Newswire ; Trade

**Word Count:** 911

-

...as an increase from HP and SUN resulting from their last time buy of certain **products**. In addition, **revenue** from **IP license, royalty** and other totaled \$13.7 million for Q3'06 as compared to \$1.1 million...

---

15/3,K/5 (Item 2 from file: 16)  
DIALOG(R) File 16: Gale Group PROMT(R)  
(c) 2009 Gale/Cengage. All rights reserved.

09434031    **Supplier Number:** 82772528 (USE FORMAT 7 FOR FULLTEXT)

**Broadcasters may balk at 'use fee' for MPEG-4 video.(Analysis)**

Yoshida, Junko

Electronic Engineering Times , p 1

Feb 11 , 2002

**Language:** English    **Record Type:** Fulltext

**Document Type:** Magazine/Journal ; Trade

**Word Count:** 1291

-

...covers servers or streaming, the patent licenses might be unenforceable. "Conditioning the grant of a **patent license** upon **payment of royalties on products** which do not use the teaching of the patent amounts to patent misuse," he warned...

---

15/3,K/6 (Item 3 from file: 16)  
DIALOG(R) File 16: Gale Group PROMT(R)  
(c) 2009 Gale/Cengage. All rights reserved.

07197751    **Supplier Number:** 61209418 (USE FORMAT 7 FOR FULLTEXT)

**The Intellectual Property Driver.(IP marketplace)(Industry Trend or Event)**

Shah, Mark Young and Nikunj

Electronic News (1991) , v 46 , n 13 , p 48

March 27 , 2000

**Language:** English    **Record Type:** Fulltext

**Document Type:** Magazine/Journal ; Trade

Word Count: 1334

-

...to this end.

The IP Model

A provider of semiconductor IP supplies soft or hard IP cores on a **license** and **royalty payment** basis to semiconductor **manufacturers**, foundries, and system OEMs who then build their products by integrating these cores with their...

---

15/3,K/7 (Item 4 from file: 16)

DIALOG(R) File 16: Gale Group PROMT(R)

(c) 2009 Gale/Cengage. All rights reserved.

06239664 Supplier Number: 54339353 (USE FORMAT 7 FOR FULLTEXT)

**IP Cores at the Crossroads: Which business models work, which don't.(intellectual property)(Industry Trend or Event)**

Steffora, Ann

Electronic News (1991) , v 45 , n 13 , p 14(1)

March 29 , 1999

Language: English Record Type: Fulltext

Document Type: Magazine/Journal ; Trade

Word Count: 588

-

...different types of IP in different ways. Star IP can derive most of its lifetime **revenue** through **royalties** whereas **Commodity**

**IP** will rely on **licensing** fees.

---

15/3,K/8 (Item 5 from file: 16)

DIALOG(R) File 16: Gale Group PROMT(R)

(c) 2009 Gale/Cengage. All rights reserved.

05591956 Supplier Number: 48464333 (USE FORMAT 7 FOR FULLTEXT)

**Semiconductors: Why Investors Can't Resist**

Fieglein, Ashley; Marren, John

Electronic News (1991) , p 36

May 4 , 1998

Language: English Record Type: Fulltext

Document Type: Magazine/Journal ; Trade

Word Count: 1342

-

...Model: Like a Software Company But Better

The typical chipless company derives its revenue from **licensing**

its **IP** and charging a **royalty fee** on **products**

developed that incorporate the IP. The benefits of this model are obvious.

Like a software...

---

15/3,K/9 (Item 1 from file: 20)

DIALOG(R) File 20: Dialog Global Reporter

(c) 2009 Dialog. All rights reserved.

51153487 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**Crossroads Systems Announces Q3'06 Results**

BUSINESS WIRE

August 31, 2006

**Journal Code:** WBWE **Language:** English **Record Type:** FULLTEXT  
**Word Count:** 1270

...as an increase from HP and SUN resulting from their last time buy of certain **products**. In addition, **revenue** from **IP** **license**, **royalty** and other totaled \$13.7 million for Q3'06 as compared to \$1.1 million...

---

15/3/K/10 (Item 2 from file: 20)  
DIALOG(R)File 20: Dialog Global Reporter  
(c) 2009 Dialog. All rights reserved.

50702715 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
**Q2 2006 Silicon Image Earnings Conference Call - Part 1**

FAIR DISCLOSURE WIRE  
July 26, 2006  
**Journal Code:** WFDW **Language:** English **Record Type:** FULLTEXT  
**Word Count:** 4587

...first quarter to 58.6% in the second quarter of 2006, largely helped by stronger **IP** **licensing** and **royalty** **revenue**.  
Number three -- Our **product** book-to-bill exceeded 1.3 and we ended the quarter with record backlog and...

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15/3/K/11 (Item 3 from file: 20)  
DIALOG(R)File 20: Dialog Global Reporter  
(c) 2009 Dialog. All rights reserved.

39881699  
**Q4 2004 Crossroads Earnings Conference Call - Part 1**

FAIR DISCLOSURE WIRE  
December 20, 2004  
**Journal Code:** WFDW **Language:** English **Record Type:** FULLTEXT  
**Word Count:** 4531

...fluctuations and revenue, I'll break down the two major components that make up our **revenue** -- **products** and **royalty**.  
**Royalty**, which also includes **IP** **licensing** **revenue**.  
First, to address the product revenue. This quarter, revenue was \$2.5 million compared...

---

15/3/K/12 (Item 4 from file: 20)  
DIALOG(R)File 20: Dialog Global Reporter  
(c) 2009 Dialog. All rights reserved.

36002071 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
**Q2 2004 Crossroads Earnings Conference Call - Part 1**

FAIR DISCLOSURE WIRE

May 25, 2004

**Journal Code:** WFDW **Language:** English **Record Type:** FULLTEXT

**Word Count:** 4641

(USE FORMAT 7 OR 9 FOR FULLTEXT)

...direct benefit on our bottom line.

In Q2, our royalty revenue was up while our product revenue was lower. Our revenue from royalties, IP licenses and services increased sequentially from \$2.2 million to \$2.7 million primarily due to...

---

15/3,K/13 (Item 5 from file: 20)

DIALOG(R)File 20: Dialog Global Reporter

(c) 2009 Dialog. All rights reserved.

32869656 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**Q4 2003 Crossroads Earnings Conference Call - Part 1**

FAIR DISCLOSURE WIRE

December 02, 2003

**Journal Code:** WFDW **Language:** English **Record Type:** FULLTEXT

**Word Count:** 4740

...the comparable period past year. This doubling of gross margin is a result of our royalty model, IP license revenue, and higher margin products. At the same time, our engineering and manufacturing teams have continued to drive down the...

---

15/3,K/14 (Item 6 from file: 20)

DIALOG(R)File 20: Dialog Global Reporter

(c) 2009 Dialog. All rights reserved.

27653243 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**Q4 2002 PC-Tel Earnings Conference Call - Final - Part 1**

FAIR DISCLOSURE WIRE

January 29, 2003

**Journal Code:** WFDW **Language:** English **Record Type:** FULLTEXT

**Word Count:** 4579

...licensing revenue. The product revenue is related to the sale of modems and wireless LAN products. The licensing revenue is related to royalties associated with our patent portfolio, and software license revenue related to the use of our modem software under license. Fourth quarter total revenue...

---

15/3,K/18 (Item 2 from file: 148)

DIALOG(R)File 148: Gale Group Trade & Industry DB

(c) 2009 Gale/Cengage. All rights reserved.

14286755 **Supplier Number:** 82772528 (USE FORMAT 7 OR 9 FOR FULL TEXT)  
**Broadcasters may balk at 'use fee' for MPEG-4 video.(Analysis)**

Yoshida, Junko  
Electronic Engineering Times , 1  
Feb 11 , 2002  
ISSN: 0192-1541  
**Language:** English  
**Record Type:** Fulltext  
**Word Count:** 1291 **Line Count:** 00105  
...covers servers or streaming, the patent licenses might be unenforceable.  
"Conditioning the grant of a **patent license** upon  
**payment of royalties on products** which do not use the  
teaching of the patent amounts to patent misuse," he warned...

---

15/3,K/22 (Item 1 from file: 275)  
DIALOG(R)File 275: Gale Group Computer DB(TM)  
(c) 2009 Gale/Cengage. All rights reserved.

02578952 **Supplier Number:** 82772528 (Use Format 7 Or 9 For FULL TEXT )  
**Broadcasters may balk at 'use fee' for MPEG-4 video.(Analysis)**

Yoshida, Junko  
Electronic Engineering Times , 1  
Feb 11 , 2002  
ISSN: 0192-1541  
**Language:** English **Record Type:** Fulltext  
**Word Count:** 1291 **Line Count:** 00105  
...covers servers or streaming, the patent licenses might be unenforceable.  
"Conditioning the grant of a **patent license** upon  
**payment of royalties on products** which do not use the  
teaching of the patent amounts to patent misuse," he warned...

---

15/3,K/25 (Item 4 from file: 275)  
DIALOG(R)File 275: Gale Group Computer DB(TM)  
(c) 2009 Gale/Cengage. All rights reserved.

02175955 **Supplier Number:** 20589736 (Use Format 7 Or 9 For FULL TEXT )  
**Semiconductors: why investors can't resist. (Industry Trend or Event)**

Marren, John; Fleglein, Ashley  
Electronic News (1991) , v44 , n2217 , p36(2)  
May 4 , 1998  
ISSN: 1061-6624  
**Language:** English **Record Type:** Fulltext; Abstract  
**Word Count:** 1445 **Line Count:** 00118  
...Model: Like a Software Company But Better  
The typical chipless company derives its revenue from **licensing**  
its **IP** and charging a **royalty fee on products**  
developed that incorporate the IP. The benefits of this model are obvious.  
Like a software...

---

15/3,K/26 (Item 1 from file: 484)  
DIALOG(R)File 484: Periodical Abs Plustext  
(c) 2009 ProQuest. All rights reserved.

04704929 **Supplier Number:** 51988750 (USE FORMAT 7 OR 9 FOR FULLTEXT )



### The intellectual property driver

Young, Mark; Shah, Nikunj  
Electronic News ( IELN ) , v46 n13 , p 48, 55+ , p. 3  
Mar 27, 2000  
ISSN: 1061-6624      Journal Code: IELN  
Document Type: News  
Language: English      Record Type: Fulltext; Abstract  
Word Count: 1024  
TEXT:

...to this end.  
The IP Model  
A provider of semiconductor IP supplies soft or hard IP cores  
on a **license** and **royalty payment** basis to  
semiconductor **manufacturers**, foundries, and system OEMs who then  
build their products by integrating these cores with their...

---

15/3,K/27 (Item 2 from file: 484)  
DIALOG(R)File 484: Periodical Abs Plustext  
(c) 2009 ProQuest. All rights reserved.

04225326      **Supplier Number: 99165385 (USE FORMAT 7 OR 9 FOR FULLTEXT )**  
**IP cores at the crossroads**

Steffora, Ann  
Electronic News ( IELN ) , v45 n13 , p 14 , p. 1  
Mar 29, 1999  
ISSN: 1061-6624      Journal Code: IELN  
Document Type: News  
Language: English      Record Type: Fulltext; Abstract  
Word Count: 586  
TEXT:

...different types of IP in different ways. Star IP can derive most of its  
lifetime **revenue** through **royalties** whereas **Commodity**  
**IP** will rely on **licensing** fees.

---

15/3,K/28 (Item 3 from file: 484)  
DIALOG(R)File 484: Periodical Abs Plustext  
(c) 2009 ProQuest. All rights reserved.

03740641      **Supplier Number: 98227415 (USE FORMAT 7 OR 9 FOR FULLTEXT )**  
**Semiconductors: Why investors can't resist**

Marren, John; Fleglein, Ashley  
Electronic News ( IELN ) , v44 n2217 , p 36, 44 , p. 2  
May 4, 1998  
ISSN: 1061-6624      Journal Code: IELN  
Document Type: News  
Language: English      Record Type: Fulltext; Abstract  
Word Count: 1346  
TEXT:

...Model: Like a Software Company But Better  
The typical chipless company derives its revenue from **licensing**  
its IP and charging a **royalty fee** on **products**  
developed that incorporate the IP. The benefits of this model are obvious.  
Like a software...

---

15/3,K/29 (Item 1 from file: 485)  
DIALOG(R)File 485: Accounting & Tax DB  
(c) 2009 ProQuest Info&Learning. All rights reserved.

**\*\* FULL-TEXT AVAILABLE IN FORMATS 7 AND 9 \*\***

00765912 **Supplier Number:** 52022446

**Avoiding potential antitrust pitfalls in patent royalty terms**

McKeown, James T; Halfenger, G Michael

Practical Lawyer v46 n2 pp: 23-37 Mar 2000

ISSN: 0032-6429 **Journal Code:** APLW

**Word Count:** 8023 **Line Count:** 729 Accounting & Tax DB\_1971-2009/Jul W2

**Supplier Number: Text:**

...the patent." Based on that principle, the Court held that "conditioning the grant of a **patent license** upon **payment** of **royalties** on **products** which do not use the teaching of the patent" amounted to patent misuse and might...

---

15/3,K/30 (Item 1 from file: 541)

DIALOG(R)File 541: SEC Online(TM) Annual Repts

(c) 1987-1997 SEC Online Inc. All rights reserved.

0618725

**INTERNATIONAL MUREX TECHNOLOGIES CORP**

- 1992 Annual Report

**Publication Date:** 12/31/92

**Text:**

...6.5%. MD also

incurs royalty obligations on certain product sales for the use of

**patent** and **license** rights. **Royalty rates** on

one **product** varies

depending on sales levels, but may reach a maximum of 15% on that product...

---

4/3,K/2 (Item 1 from file: 15)

DIALOG(R)File 15: ABI/Inform(R)

(c) 2009 ProQuest Info&Learning. All rights reserved.

00414861

88-31694

**Clone Makers Get Warning on Patents**

Francis, Robert

Datamation v34n15 pp: 28, 32

Aug 1, 1988

ISSN: 0011-6963 **Journal Code:** DAT

**Abstract:**

...clear that the company did not want to see a flood of PS/2 clones.

**Royalty rates** for future **patent licenses** were

increased. Compaq Computer Corp. sees IBM's posture as taking an unfair advantage. Moreover...

---

4/3,K/3 (Item 1 from file: 16)  
DIALOG(R)File 16: Gale Group PROMT(R)  
(c) 2009 Gale/Cengage. All rights reserved.

12359860 **Supplier Number: 132376275 (USE FORMAT 7 FOR FULLTEXT)**

**MOSAID Announces Fourth-Quarter and Year-End Results for Fiscal Year 2004.**

PR Newswire , p NA

June 17, 2004

**Language:** English **Record Type:** Fulltext

**Document Type:** Newswire ; Trade

**Word Count:** 1725

-

...company to have licensed MOSAID's patents and the second manufacturer to sign a running **royalty rate** based **patent license**.

At the end of the quarter there were fifteen companies on notice for patent infringement...

---

4/3,K/4 (Item 2 from file: 16)  
DIALOG(R)File 16: Gale Group PROMT(R)  
(c) 2009 Gale/Cengage. All rights reserved.

06490499 **Supplier Number: 55169883 (USE FORMAT 7 FOR FULLTEXT)**

**Royalty deal aids 3G plan.**

Electronics Times , p 3

July 12, 1999

**Language:** English **Record Type:** Fulltext

**Document Type:** Magazine/Journal ; Trade

**Word Count:** 339

-

...all formerly involved activities, including chip design and subassembly.

There will also be a standard **royalty rate** for each 3G **patent licensed** in each category, and this will be 0.1% of the ex-works sales. The...

---

4/3,K/5 (Item 3 from file: 16)  
DIALOG(R)File 16: Gale Group PROMT(R)  
(c) 2009 Gale/Cengage. All rights reserved.

03546018 **Supplier Number: 44978294 (USE FORMAT 7 FOR FULLTEXT)**

**QLOGIC RECEIVE THREE NEW US PATENTS**

Computergram International , n 2495 , p N/A

Sept 7, 1994

**Language:** English **Record Type:** Fulltext  
**Document Type:** Newswire ; Trade  
**Word Count:** 98  
**Supplier Number:** (USE FORMAT 7 FOR FULLTEXT)

**Text:**

...flow of information between a storage system and a computer; the company intends to offer **patent licenses** at "reasonable" **royalty rates** to computer system and disk drive manufacturers who have used the Bus Interface Synchronisation Circuitry...

---

4/3,K/6 (Item 1 from file: 20)  
DIALOG(R)File 20: Dialog Global Reporter  
(c) 2009 Dialog. All rights reserved.

**36191544 (USE FORMAT 7 OR 9 FOR FULLTEXT)**  
**MOSAID Announces Fourth-Quarter and Year-End Results for Fiscal Year 2004**

PR NEWswire (US)  
June 17, 2004  
**Journal Code:** WPRU **Language:** English **Record Type:** FULLTEXT  
**Word Count:** 2326  
**(USE FORMAT 7 OR 9 FOR FULLTEXT)**  
...company to have licensed MOSAID's patents and the second manufacturer to sign a running **royalty rate** based **patent license**.  
At the end of the quarter there were fifteen companies on notice for patent infringement...

---

4/3,K/7 (Item 2 from file: 20)  
DIALOG(R)File 20: Dialog Global Reporter  
(c) 2009 Dialog. All rights reserved.

**29739603 (USE FORMAT 7 OR 9 FOR FULLTEXT)**  
**MOSAID Announces Fourth-Quarter and Year-End Results for Fiscal Year 2003**

PR NEWswire (US)  
June 19, 2003  
**Journal Code:** WPRU **Language:** English **Record Type:** FULLTEXT  
**Word Count:** 2281  
**(USE FORMAT 7 OR 9 FOR FULLTEXT)**  
...Winbond announcement represents a particularly important milestone in the licensing program. As the first running **royalty rate patent license**, the Winbond agreement lays the foundation for

realizing both near-term and long-term value...

---

4/3,K/9 (Item 1 from file: 47)

DIALOG(R)File 47: Gale Group Magazine DB(TM)

(c) 2009 Gale/Cengage. All rights reserved.

03160083    **Supplier Number:** 07125941 (USE FORMAT 7 OR 9 FOR FULL TEXT )  
**Monitor.**

PC Week , v5 , n46 , p87(1)

Nov 14 , 1988

ISSN: 0740-1604

**Language:** ENGLISH    **Record Type:** FULLTEXT

**Word Count:** 458    **Line Count:** 00037

...to hit the PC industry this year was IBM's decision to raise its maximum  
**royalty rate** for **patent licenses** from 1 percent  
to 5 percent. As it turns out, however, that bomb may have...

---

4/3,K/10 (Item 2 from file: 47)

DIALOG(R)File 47: Gale Group Magazine DB(TM)

(c) 2009 Gale/Cengage. All rights reserved.

03147325    **Supplier Number:** 06521704 (USE FORMAT 7 OR 9 FOR FULL TEXT )  
**Clone makers get warning on patents.**

Francis, Robert

Datamation , v34 , n15 , p28(2)

Aug 1 , 1988

ISSN: 1062-8363

**Language:** ENGLISH    **Record Type:** FULLTEXT; ABSTRACT

**Word Count:** 1403    **Line Count:** 00108

...on patent protection and set out in pursuit of new revenue streams,  
decided to increase **royalty rates** on future **patent**  
**licenses**. To the consternation of many in the massive IBM  
PC-compatible industry, Big Blue vowed...

---

4/3,K/11 (Item 1 from file: 88)

DIALOG(R)File 88: Gale Group Business A.R.T.S.

(c) 2009 Gale/Cengage. All rights reserved.

02072781    **Supplier Number:** 06521704

Clone makers get warning on patents.

Francis, Robert

Datamation , v34 , n15 , p28(2)

Aug 1 , 1988

ISSN: 1062-8363

**Language:** English    **Record Type:** Fulltext; Abstract

**Word Count:** 1227    **Line Count:** 00108

...on patent protection and set out in pursuit of new revenue streams, decided to increase **royalty rates** on future **patent licenses**. To the consternation of many in the massive IBM PC-compatible industry, Big Blue vowed...

---

4/3,K/12 (Item 1 from file: 112)  
DIALOG(R)File 112: UBM Industry News  
(c) 2004 United Business Media. All rights reserved.

01205466 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**Royalty deal aids 3G plan**

Electronics Times , p 3  
July 12, 1999

**Language:** English **Record Type:** Fulltext **Document Type:** Journal  
**Word Count:** 00000349 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**Text:**

...all formerly involved activities, including chip design and subassembly.

There will also be a standard royalty rate for each 3G patent licensed in each category, and this will be 0.1% of the ex-works sales. The...

---

4/3,K/13 (Item 1 from file: 139)  
DIALOG(R)File 139: EconLit  
(c) 2009 American Economic Association. All rights reserved.

324432

**Title:** Entrepreneurship, management, and the structure of payoffs

**Author:** Baumol, William J.

**Publication Information:** Cambridge and London: MIT Press, **Pages:** xi, 311

**Publication Date:** 1993

**Language:** English

**ISBN:** 0-262-02360-1

**Document Type:** Book

**Abstract Indicator:** Abstract

**Abstract:** ...in a technology-sharing consortium. Examines the principles that should govern the choice of a **royalty rate** for a **patent license**. Discusses the implications for the design of policy that would improve the allocation of entrepreneurship...

**TEXT:**

---

4/3,K/15 (Item 2 from file: 150)  
DIALOG(R)File 150: Gale Group Legal Res Index(TM)  
(c) 2009 Gale/Cengage. All rights reserved.

2832879 **Supplier Number:** 02832879

**Title:** Antitrust and patent misuse considerations of royalty rate differences among patent licensees.

**Author:** Roberts, Christopher B.

**Journal Name:** Journal of the Patent Office Society 65 n7 344-374 July , 1983

**Source File:** LRI File 150

**Title:** Antitrust and patent misuse considerations of royalty rate differences among patent licensees.

---

4/3,K/16 (Item 1 from file: 275)  
DIALOG(R)File 275: Gale Group Computer DB(TM)  
(c) 2009 Gale/Cengage. All rights reserved.

01257823 **Supplier Number:** 07125941 (Use Format 7 Or 9 For FULL TEXT )  
**Monitor.**

PC Week , v5 , n46 , p87(1)  
Nov 14 , 1988  
ISSN: 0740-1604

**Language:** ENGLISH **Record Type:** FULLTEXT

**Word Count:** 458 **Line Count:** 00037

...to hit the PC industry this year was IBM's decision to raise its maximum **royalty rate** for **patent licenses** from 1 percent to 5 percent. As it turns out, however, that bomb may have...

---

4/3,K/17 (Item 2 from file: 275)  
DIALOG(R)File 275: Gale Group Computer DB(TM)  
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01245031 **Supplier Number:** 06660831 (Use Format 7 Or 9 For FULL TEXT )  
**IBM speaks, confuses clone issue.**

Roman, Susan  
MIS Week , v9 , n15 , p1(2)  
April 11 , 1988  
ISSN: 0199-8838

**Language:** ENGLISH **Record Type:** FULLTEXT; ABSTRACT

**Word Count:** 1037 **Line Count:** 00079

...and conditions, including cross-licensing agreements where appropriate." It also said it had increased its **royalty rates** for all future **patent licensing** agreements worldwide.

An IBM spokesman said the only news in its announcement was that it

...

---

**Dialog eLink: Order File History**

4/3,K/18 (Item 1 from file: 340)

DIALOG(R)File 340: CLAIMS(R)/US Patent

(c) 2009 IFI/CLAIMS(R). All rights reserved.

10284049 2003-0028453

E/Interactive method and apparatus for determining patent license fees

Inventors:Reader Scot A (US)

Assignee: Unassigned Or Assigned To Individual

Assignee Code: 68000

Attorney, Agent or Firm: Scot A. Reader, Esq., 3424 Woodcliff Road, Sherman Oaks, CA, 91403, US

	Publication Number	Kind	Date	Application Number	Date
	-----	--	-----	-----	-----
	US 20030028453	A1	20030206	US 2001920363	
20010801					
Priority Applic:				US 2001920363	20010801
Document Type:					
Non-exemplary Claims:					

...8. The method of claim 1, wherein the second **patent license** data include a **royalty rate**.

...

...16. The system of claim 10, wherein the **patent license** data include a **royalty rate**.

---

4/3,K/20 (Item 2 from file: 543)

DIALOG(R)File 543: SEC Online(TM) 10-Q Reports

(c) 1987-1997 SEC Online Inc. All rights reserved.

1250936

**HUTCHINSON TECHNOLOGY INC**

- 1995 10Q Report

**Publication Date: 06/25/95**

**Text:**

...for herein and are not to be construed as royalties in compensation for any IBM **patent licenses**.

The **royalty rate** which HTI shall pay IBM for each HTI Royalty Product sold during a calendar year...



4/3,K/21 (Item 1 from file: 545)  
DIALOG(R)File 545: Investext(r)Archive  
. All rights reserved.

0019104407

**TCL INTERNATIONAL HOLDINGS**

SMITH BARNEY CITIGROUP  
ZHU, C.  
HONG KONG

**DATE:** June 18, 04  
**INVESTEXT(tm) REPORT NUMBER:** 9623882 , PAGE 2 OF 9 , TEXT PAGE  
This is a(n) COMPANY report.

**TEXT:**

...in 2003. This may result in tightening cash flow for TTE

\* TTE will pay additional **patent license fee** and  
trademark **royalty fees** to Thomson and TCL Corp. These fees  
were not incurred on Thomson and TCL's...

**III. Additional Resources Searched**

4 of 4 DOCUMENTS  
Copyright 2003 PR Newswire Association, Inc.

PR Newswire

October 17, 2003 Friday

**SECTION:** FINANCIAL NEWS

**LENGTH:** 371 words

**HEADLINE:** Memorex to Increase Recordable CD Media Pricing

**DATELINE:** SANTA FE SPRINGS, Calif. Oct. 17

**BODY:**

...still cooperate with its retail partners to actively market the products to consumers.

Manufacturing costs have increased 35 percent this year due to patent royalty fees, rising production costs for petroleum-based plastics used to make optical media, as well as transportation costs to deliver products to warehouse and retail ...

Page 1 of 1

<http://w3.nexis.com/new/delivery/PrintDoc.do?fromCart=false&dnldFilePath=%2F1-n%2Fsh...> 7/20/09

**I P Innovations Financial Services & Partners to Receive First "Deal of Distinction Award" From the Licensing Executives Society**

**Business Wire.** New York: Oct 19, 2005. pg. 1

An asset-backed note for fashion designer BCBG [Max Azria] in which IP Innovations Financial Services served as credit enhancer was named Most Distinctive Financial Markets Transaction by the Licensing Executives Society (LES). The award was presented today at the 41st annual LES conference in Phoenix, AZ.

IP Innovations Financial Services, Inc. is the leading provider of credit enhancements for intellectual property. The firm, based in Charlotte, NC, provides funded loans and unfunded credit enhancements to allow royalty and non-royalty generating patents, trademarks and copyrights to be used as collateral in corporate financing opportunities. IPI provides lenders with the ability to expand their customer base and affords IP owners access to low cost, non-dilutive capital. For more information, visit the IP Innovations website at [www.ipinn.com](http://www.ipinn.com).

Copyright © [Business Wire](#) Oct 19, 2005

Brody Berman Associates, Inc. Bruce Berman, 212-683-8125, Ext. 216 [bberman@brodyberman.com](mailto:bberman@brodyberman.com) or IP Innovations Financial Services, Inc. Edward Meintzer, 704-357-4465 [emeintzer@ipinn.com](mailto:emeintzer@ipinn.com)

Unique Intellectual Property Transaction Enabled Securitization of Trademarks and Other IP of Fashion Designer © [BCBG Max Azria](#)

An asset-backed note for fashion designer © [BCBG Max Azria](#) in which IP Innovations Financial Services served as credit enhancer was named Most Distinctive Financial Markets Transaction by the Licensing Executives Society (LES). The award was presented today at the 41st annual LES conference in Phoenix, AZ.

The LES Deal of Distinction Award, a new industry sector award program, recognizes worthy licensing transactions and promotes solutions to business issues and agreements. Jake Schiabe, chair of the Deal of Distinction Awards Committee will present the award to IP Innovations Financial Services before the conference attendees. Founded in 1966, LES (USA & Canada) is a 6,000-member society of LES International, which has more than 12,000 members in more than 30 societies, representing over 80 countries.

"IP Innovations, New York Life Investment Management and UCC Capital are honored to be recognized by the Licensing Executive's Society," said Keith D. Bergelt, President and CEO of IPI. "This deal is indicative of the breadth and quality of the opportunity to finance intellectual property, whether it is trademarks, patents or copyrights."

In April, the © [BCBG Max Azria](#) transaction was awarded Deal of the Year by Institutional Investor's Securitization News. This award recognizes excellence and innovation in the structuring and servicing of asset- and mortgage-backed securities and collateralized debt obligations. The editorial staff in New York, London and Hong Kong conducted extensive interviews to determine the best of the best in the securitization sector.

The © [BCBG Max Azria](#) deal was the first major apparel brand securitization that was structured to include a retail component, which broadens the scope of potential deals in the intellectual property sector. The transaction has established the foundation for similar deals in the consumer products and retail sector and is expected to carve out a new niche.

The transaction, in which IPI's credit enhancement supports the IP-backed note issuance, features a conservative loan-to-value ratio. The total transaction is \$53 million, \$12 million of which is supported by IPI's credit enhancement. The transaction collateral is comprised of all of © [BCBG Max Azria](#)'s intellectual property, including all of its current and future trademarks.

IP Innovations Financial Services, Inc. is the leading provider of credit enhancements for intellectual property. The firm, based in Charlotte, NC, provides funded loans and unfunded credit enhancements to allow royalty and non-royalty generating patents, trademarks and copyrights to be used as collateral in corporate financing opportunities. IPI provides lenders with the ability to expand their customer base and affords IP owners access to low cost, non-dilutive capital. For more information, visit the IP Innovations website at [www.ipinn.com](http://www.ipinn.com).